

Nicotinic receptors in mouse prefrontal cortex modulate ultraslow fluctuations related to conscious processing

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The prefrontal cortex (PFC) plays an important role in cognitive processes, including access to consciousness. The PFC receives significant cholinergic innervation and nicotinic acetylcholine receptors (nAChRs) contribute greatly to the effects of acetylcholine signaling. Using in vivo two-photon imaging of both awake and anesthetized mice, we recorded spontaneous, ongoing neuronal activity in layer II/III in the PFC of WT mice and mice deleted for different nAChR subunits. As in humans, this activity is characterized by synchronous ultraslow fluctuations and neuronal synchronicity is disrupted by light general anesthesia. Both the $\alpha 7$ and $\beta 2$ nAChR subunits play an important role in the generation of ultraslow fluctuations that occur to a different extent during quiet wakefulness and light general anesthesia. The $\beta 2$ subunit is specifically required for synchronized activity patterns. Furthermore, chronic application of mecamylamine, an antagonist of nAChRs, disrupts the generation of ultraslow fluctuations. Our findings provide new insight into the ongoing spontaneous activity in the awake and anesthetized state, and the role of cholinergic neurotransmission in the orchestration of cognitive functions.

nicotinic receptor | consciousness | ultraslow fluctuations | anesthesia | prefrontal cortex

The prefrontal cortex (PFC) plays an important role in cognitive processes such as attention (1), working memory (2), decision making (3), social behavior (4), and emotions (5). Current theories consider the PFC a key player in conscious processing (6–8). Deficits in prefrontal function, including attention, are noted in several neuropsychiatric disorders, including schizophrenia, attention deficit/hyperactivity syndrome, addiction, depression, and autism (9).

In humans, as in rodent models, cholinergic innervation of the PFC regulates cognitive processes and neuronal activity. For example, in rodents, removing PFC cholinergic innervation reduces attention performance, whereas stimulation of cholinergic projections causes enhancement (10). Many studies in both animal models and humans have shown that nicotinic acetylcholine receptors (nAChRs) are of particular importance for cognitive functions, reward, aging, and for pathologies like Alzheimer's Disease (11). For example, deletion of the $\alpha 7$ and $\beta 2$ nAChR subunits in mice impairs behaviors, such as exploration and attention (12–16). Importantly, lesions of the prefrontal cortex (PrLC) in WT mice cause deficits in social behavior, similar to those observed in $\beta 2$ knock-out (KO) mice, whereas re-expression of the $\beta 2$ subunit in the PrLC of $\beta 2$ KO mice rescues their social interaction (4). Similarly, re-expression of $\beta 2$ subunits in the PrLC of $\beta 2$ KO mice fully restored their attentional performance in the five-choice serial reaction time task (16).

Ongoing spontaneous activity is known to occur in developing and adult brain and its physiological importance has been emphasized (17). Recordings in humans indicate that ongoing activity constantly fluctuates in a tightly correlated manner across distant brain regions, forming reproducible patterns with rich temporal dynamics and spatial organization (18). The potential contribution of spontaneous activity to conscious processing has been suggested and simulations helped characterize two main modes of activity:

an active mode characterized by rapid and sustained activity (“ignition”) (19), which contributes to the signature of “conscious access,” and a resting mode with spontaneous ultraslow (<0.1 Hz) fluctuations (USFs) (18). It has been suggested that ignition and ultraslow spontaneous fluctuations share similar mechanisms (18). Independently, electrophysiological recordings in animal models have distinguished “up” and “down” states (20), and the possibility is considered here that up states coincide with USFs in humans. Furthermore, in vivo recordings in animal models (21) reveal that, similar to humans, USFs persist under general anesthesia, yet with a lower amplitude (22, 23). Under anesthesia, the dominating functional configurations have low information capacity and lack negative correlations (24). Conversely, in the awake state a dynamic exploration of a rich, flexible repertoire of functional configurations takes place, including ignition, in the course of conscious access. However, to our knowledge, the pharmacology and biochemistry of these processes remain unexplored.

To address this gap in our knowledge, using in vivo Ca^{2+} imaging we recorded and compared the spontaneous activity patterns in the PrLC of both awake mice and mice under general anesthesia, a condition where conscious processing is known to be altered (25). These recordings point to spontaneous and infrequent coherent states with high firing rates, analogous to USFs. Our findings reveal a distinct role of nAChRs in the ongoing synchronous neuronal activity of the PFC in awake and anesthetized animals, shedding light on a possible involvement of nAChRs in conscious processing.

Significance

The human brain exhibits ongoing spontaneous activity characterized by very slow frequency fluctuations. These synchronously firing populations are considered to play a key role in conscious processes. We identified ultraslow fluctuations (USFs) in awake and anesthetized mice using two-photon imaging in the prefrontal cortex, a brain region involved in cognitive processes. Using transgenic mice, we demonstrate a crucial role for nicotinic acetylcholine receptors (nAChRs) in the generation of ultraslow fluctuations and their synchronicity, processes that are affected by deletion of nAChR subunits and general anesthetics like isoflurane. This work allows further dissection of the underlying mechanisms, and predicts that in humans with nAChR polymorphisms or copy number variation these processes might be altered, resulting in neuropsychiatric disorders.

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Results

USFs Contribute to Mouse PFC Spontaneous Activity. The recognition of the “default mode” network (26) started a long-lasting interest in the significance of the human brain’s ongoing or intrinsic activity, which is characterized by synchronous USFs of activity, often taking seconds to develop (18). USFs have been recorded in the cortex of awake humans and primates, and also under anesthesia (27–30). The neuronal mechanisms that generate spontaneous resting-state fluctuations, together with the ignition dynamics, are unknown and remain a matter of debate.

To explore these issues *in vivo*, we used the mouse as a model together with two-photon imaging of spontaneous neuronal activity patterns recorded through a chronic cranial window in layer II/III of the PrLC (Fig. S1 A and B). Neurons of the PrLC were transduced with an adeno-associated viral vector (AAV) expressing the fluorescent calcium indicator GCaMP6f (*Materials and Methods* and *SI Materials and Methods*). Four weeks after AAV injection, the majority of layer II/III neurons exhibited green fluorescence (Fig. S1 C–E). We first studied the activity patterns of 3-mo-old awake head-fixed WT mice, where we simultaneously monitored the spontaneously occurring somatic GCaMP6f Ca^{2+} transients in multiple individual cells, and estimated the neural spiking rates through deconvolution of calcium transients (*SI Materials and Methods*). The mice were awake and reactive, as monitored by an infrared camera. A typical example of neural population activity is shown in Fig. 1A. To identify patterns of activity and USFs in populations of simultaneously recorded neurons, we studied the distribution of their time varying mean activity (see *Materials and Methods* and *SI Materials and Methods* for details). In Fig. 1, the USFs correspond to population activities in red and basal activities correspond to population activities in blue (Fig. 1 B and C). Interestingly, awake WT mice exhibited USFs with a frequency similar to that observed in humans (below 0.1 Hz) (17, 31). These frequencies are slower than those observed in the cardiac (0.6–1.2 Hz) and respiratory cycles (0.1–0.5 Hz) (31). The activity patterns were then analyzed to detect synchronous activity in populations of simultaneously imaged neurons, as previously described (20) (*Materials and Methods*). The number of simultaneously imaged cells ranged from 4 to 71 neurons, with a mean value of 36 neurons ($n = 2,900$ cells in 7 mice). In the awake state, we detected robust synchronicity in the neuronal populations (Fig. 1D1). Our recordings indicate that, similar to awake humans, the ongoing activity in a mouse brain constantly fluctuates and exhibits synchronously firing neuronal activity, making the mouse a reliable model for studying some of the elementary physiological processes associated with conscious processing in humans.

USFs Persist Under Light General Anesthesia, but Synchronicity Is Disrupted. General anesthesia differentially alters states of consciousness and access to conscious content (8). The ability of general anesthesia to induce safe and reversible loss of consciousness poses the most complex question of how a simple chemical can affect conscious experience (32). General anesthetics may directly or indirectly affect conscious processing by the cerebral cortex (33); however, the neurobiological mechanisms involved in this interaction are largely unknown. We thus performed Ca^{2+} imaging of mainly pyramidal cells in the PrLC of lightly anesthetized WT mice (0.8% isoflurane), and compared their activity patterns with those recorded in awake mice (Fig. 1A2–D2). Interestingly, the USFs persist under light general anesthesia, with no significant difference between the populations that exhibit USFs in the awake state ($90.30 \pm 5.03\%$, $n = 2,900$ cells in 7 mice) compared with the anesthetized state ($88.38 \pm 5.82\%$, $n = 702$ cells in 3 mice; ANOVA) (Fig. 1E). However, we observed a robust reduction of the synchronously firing populations under anesthesia ($55.87 \pm 11.38\%$), compared with awake mice ($95.35 \pm 3.67\%$, $P < 0.001$) (Fig. 1F). Therefore, USFs share similar mechanisms between awake and anesthetized conditions but anesthesia shows strong inhibitory effects on the generation of neuronal synchronicity.

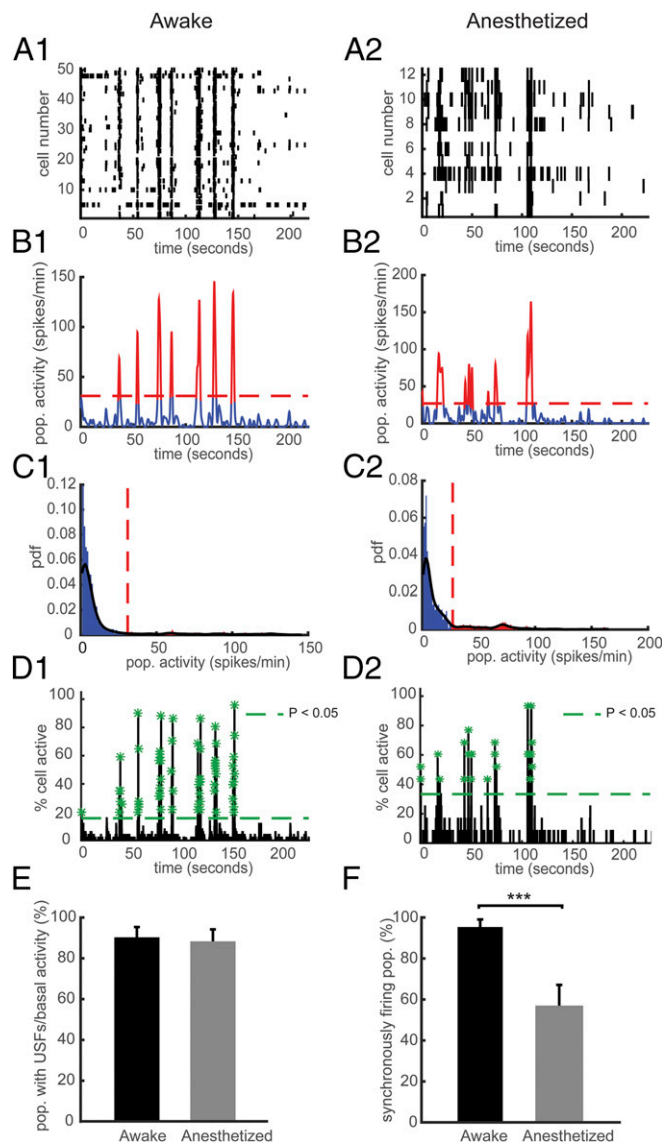


Fig. 1. Effect of general anesthesia on USFs and synchronously firing neurons in WT mice. (A) Representative rasterplots for one population of simultaneously recorded neurons in a WT mouse in the awake (A1) and anesthetized state (A2). Each row corresponds to the spiking activity of one neuron. (B) Mean neural activity for the populations in A in the awake (B1) and anesthetized state (B2). Red and blue correspond to USFs and basal activity states, respectively. Dotted red line: computed threshold. (C) Probability density function (pdf) of the population activity exhibited in B in the awake (C1) and anesthetized state (C2) for determining the threshold (red dotted line) between USFs and basal activity. Black line: Gaussian smoothed pdf, red bars: USFs and blue bars: basal activity. (D) Histogram representing the percentage of cells active in small time bins (~ 0.144 s), for the population activity in A in the awake (D1) and anesthetized state (D2). Asterisks: significant peaks of synchrony. (E) Computed percentage of cells exhibiting USFs. (F) Percentage of populations with synchronous activity in the awake and anesthetized state. (A1–D1) Awake and (A2–D2) anesthetized mice.

Differential Role of nAChRs in the Generation of USFs and Synchronicity in the Awake State. Many studies in both animal models and humans have identified a contribution of nAChRs to cognitive functions; we thus investigated the occurrence of USFs transitions in KO mice of $\alpha 7$ and $\beta 2$ nAChR subunits. Typical examples of neuronal population activities in awake $\alpha 7$ and $\beta 2$ KO mice are shown in Fig. 2. We found that $90.30 \pm 5.03\%$ of simultaneously recorded populations exhibited USFs/basal activity in WT ($n = 2,900$ cells in 7 mice), $82.08 \pm 7.2\%$ in $\alpha 7$ KO mice

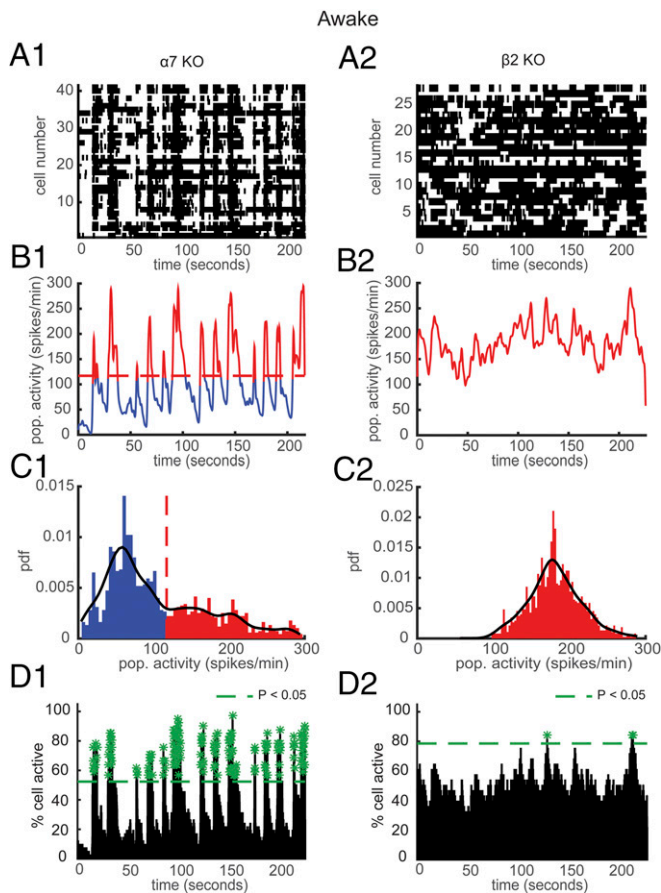


Fig. 2. Consequences of the $\alpha 7$ and $\beta 2$ nAChR deletion on USFs and synchronously firing neurons in the awake state. (A) Representative rasterplots for one population of simultaneously recorded neurons in $\alpha 7$ (A1) and $\beta 2$ (A2) KO awake mice. Each row corresponds to the spiking activity of one neuron. (B) Mean neural activity for the populations in A in $\alpha 7$ (B1) and $\beta 2$ (B2) KO awake mice. Red and blue correspond to USFs and basal activity states, respectively. Dotted red line: computed threshold. (C) Probability density function (pdf) of the population activity exhibited in B in $\alpha 7$ (C1) and $\beta 2$ (C2) KO awake mice for determining the threshold (red dotted line) between USFs and basal activity. Black line: Gaussian smoothed pdf, red bars: USFs and blue bars: basal activity. (D) Histogram representing the percentage of cells active in small time bins (~ 0.144 s), for the population activity in A in $\alpha 7$ (D1) and $\beta 2$ (D2) KO awake mice. Asterisks: significant peaks of synchrony. (A1–D1) $\alpha 7$ KO and (A2–D2) $\beta 2$ KO mice.

($n = 1,403$ cells in 5 mice), and $65.86 \pm 5.7\%$ in $\beta 2$ KO mice ($n = 3,459$ cells in 11 mice) with a significant difference between WT and $\beta 2$ KO groups ($P = 0.0130$, ANOVA) (Fig. S2). In each population of simultaneously recorded neurons with USFs/basal activity transitions, we determined the percentage of cells that exhibit an activity pattern in accordance with the population activity. In awake WT animals, $96.6 \pm 1.8\%$ of cells fire in accordance with their population activity, in $\alpha 7$ KO mice ($88.23 \pm 4.12\%$) and in $\beta 2$ KO mice ($84.37 \pm 2.27\%$) (Fig. S2). To compute the percentage of cells with USFs/basal activity for each mouse group, we multiplied the percentage of populations with USFs/basal activity with the mean percentage of cells in accordance with the population patterns of activity, for each mouse. Interestingly, we found that $82.41 \pm 5.28\%$ of simultaneously recorded cells exhibited USFs in WT, $69.31 \pm 7.4\%$ in $\alpha 7$ KO mice, and $54.85 \pm 5.9\%$ in $\beta 2$ KO mice, with a significant difference between WT and $\beta 2$ KO mice ($P = 0.007$) (Fig. 3A).

Next, we computed the USFs/basal activity properties in all populations. The basal activity duration was significantly lower for the $\beta 2$ KO mice (7.36 ± 1.19 s), compared with $\alpha 7$ KO (11.69 ± 2.43 s, $P = 0.0021$) and WT mice (14.43 ± 1.54 s, $P < 0.001$) (Fig. 3B), whereas

the USFs duration was significantly higher for the $\beta 2$ KO mice (5.11 ± 0.64 s), compared with $\alpha 7$ KO (3.89 ± 0.65 s, $P = 0.0022$) and WT mice (3.03 ± 0.38 s, $P < 0.001$, Kruskal–Wallis) (Fig. 3C). Furthermore, computing the correlation between power spectra for each neuronal population for the different mice conditions in the awake state revealed that there are significantly lower correlations for $\beta 2$ KO compared with WT mice (Fig. S3). These data indicate that the USFs of each cell in a population of simultaneously recorded cells are more homogeneous in the case of WT and $\alpha 7$ KO mice compared with $\beta 2$ KO mice.

We then analyzed and compared the synchronicity in the different animal groups. In the WT and $\alpha 7$ KO mice, neurons displayed strong synchronous activity in $95.35 \pm 3.67\%$ (40 populations) and $88.33 \pm 11.66\%$ (38 populations) of the recorded populations, respectively, with no significant difference between groups ($P = 0.70$, ANOVA) (Fig. 3D). In the $\beta 2$ KO mice, the patterns of activity were remarkably different from that in WT ($P < 0.001$) and $\alpha 7$ KO mice ($P = 0.046$), with synchronous activity detected in only $63.91 \pm 5.38\%$ of the recorded populations (99 populations) (Fig. 3D). The number of synchrony peaks detected was similar between WT mice (30.59 ± 3.73 peaks per minute) and $\alpha 7$ KO mice (31 ± 6.77 peaks per minute, $P = 0.99$), whereas a robust decrease was observed in the case of $\beta 2$ KO mice (4.52 ± 0.72 peaks per minute, $P < 0.001$, ANOVA) (Fig. 3E). In addition, the percentage of coactive cells in the peaks of synchrony was significantly higher for $\alpha 7$ KO ($53.34 \pm 0.51\%$) and $\beta 2$ KO mice ($53.30 \pm 1.05\%$) compared with the WT mice ($47.71 \pm 0.47\%$, $P < 0.001$) (Fig. 3F).

Overall, USFs were still detected in mice with deleted nAChR subunits. However, $\beta 2$ KO mice showed reductions in the percentage of cells that exhibit USFs and a strong decrease in the percentage of synchronously firing neuronal populations. These data indicate a potentially major role for $\beta 2$ subunits in the generation of physiological phenomena associated with conscious processing in the awake state.

Role of nAChRs in Ongoing Activity Under General Anesthesia. The nAChRs are possible direct/indirect targets of general anesthetics and as a consequence may interfere with cholinergic transmission. For example, the volatile anesthetic isoflurane shows high affinity for nAChRs (34). However, isoflurane inhibition has been found to vary with nAChR subunit composition (35). We aimed to study in vivo the contribution of defined nAChR subunits to spontaneous activity under conditions of light general anesthesia. Typical examples of the effect on the neuronal population activity in $\alpha 7$ and $\beta 2$ KO anesthetized mice are shown in Fig. 4. We found that $88.4 \pm 5.8\%$ of

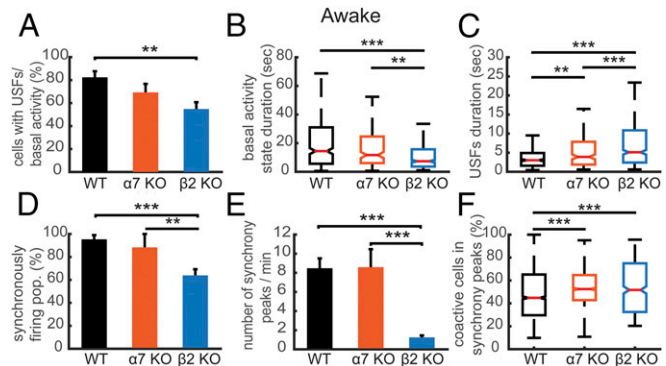


Fig. 3. Comparison of the properties of USFs and synchronicity in awake mice. (A) Percentage of cells with USFs for each animal type in the awake state. (B) Boxplots of basal activity durations for each animal type in the awake state. (C) Boxplots of USF durations for each animal type. (D) Percentage of populations exhibiting synchrony activity for each mouse type in the awake state. (E) Mean number of synchrony peaks per minute for the different animal groups in the awake state. (F) Percentage of coactive cells in the peaks of synchrony for the different animal groups in the awake state. For all comparisons: $*P < 0.05$, $**P < 0.01$, and $***P < 0.001$; ANOVA in A, D, E, and F; Kruskal–Wallis in B and C.

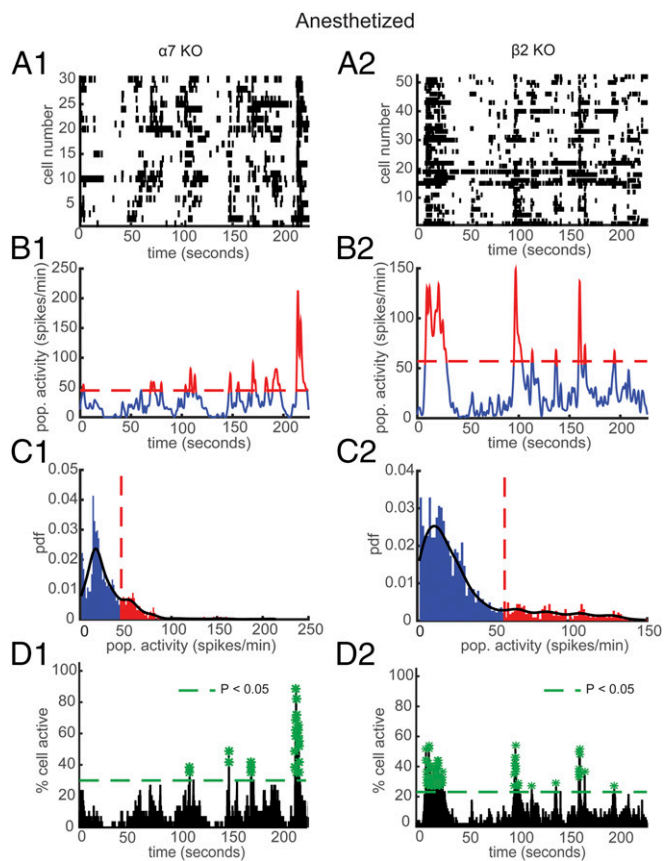


Fig. 4. Effect of general anesthetic on USFs and synchronously firing neurons in $\alpha 7$ and $\beta 2$ KO mice. (A) Representative rasterplots for one population of simultaneously recorded neurons in $\alpha 7$ (A1) and $\beta 2$ KO (A2) anesthetized mice. (B) Mean neural activity for the populations in A in $\alpha 7$ (B1) and $\beta 2$ KO (B2) anesthetized mice. Red and blue correspond to USFs and basal activity states, respectively. Dotted red line: computed threshold. (C) Probability density function (pdf) of the population activity in B in $\alpha 7$ (C1) and $\beta 2$ KO (C2) anesthetized mice. (D) Histogram representing the percentage of cells active in small time bins (~ 0.144 s) for the population activity in A in $\alpha 7$ (D1) and $\beta 2$ KO (D2) anesthetized mice. Asterisks: significant peaks of synchrony. (A1–D1) $\alpha 7$ KO and (A2–D2) $\beta 2$ KO mice.

simultaneously recorded populations exhibited USFs/basal activity in WT ($n = 702$ cells in 3 mice), $76.6 \pm 14.5\%$ in $\alpha 7$ KO ($n = 389$ cells in 4 mice), and $85.7 \pm 4.9\%$ in $\beta 2$ KO ($n = 522$ cells in 4 mice), with no significant difference between the groups (Fig. S2). Furthermore, in WT mice $96.42 \pm 4.28\%$ of cells fire in accordance with their population activity, $91.66 \pm 6.55\%$ in $\alpha 7$ KO mice, and $83.82 \pm 2.2\%$ in $\beta 2$ KO mice (Fig. S2).

We also found that $76.68 \pm 4.35\%$ of simultaneously recorded cells exhibited USFs in WT mice, $65.89 \pm 14.16\%$ in $\alpha 7$ KO mice, and $68.35 \pm 4.3\%$ in $\beta 2$ KO mice, with no significant difference (Fig. 5A). Next, we computed the USFs/basal activity properties in all populations. Under anesthesia, there were no significant changes of basal activity state duration between animal types ($P > 0.14$, Kruskal–Wallis), nor any significant changes in variability ($P > 0.49$, Ansari–Bradley) (Fig. 5B). Conversely, the USF duration was significantly higher for the $\alpha 7$ KO mice (4.19 ± 2.57 s) and $\beta 2$ KO mice (2.86 ± 0.55 s), compared with WT mice (2.11 ± 0.40 s, $P < 0.001$) (Fig. 5C). In addition, there were no significant differences in the correlations of power spectra between the different mouse types in the anesthetized state (Fig. S3).

For anesthetized animals, the number of simultaneously imaged cells in the same focal plane ranged from 3 to 96 neurons, with a mean value of 23 neurons. Of simultaneously recorded populations, $55.87 \pm 11.38\%$ exhibited synchronous activity in WT mice (54 populations), $56.66 \pm 23.33\%$ in $\alpha 7$ KO mice (25 populations), and

$65.41 \pm 18.20\%$ in $\beta 2$ KO mice (129 populations), with no significant differences ($P > 0.9$) between groups (Fig. 5D). Interestingly, in the anesthetized state, the number of synchrony peaks detected was similar between WT mice (0.79 ± 0.16 peaks per minute) and $\alpha 7$ KO mice (1.41 ± 0.52 peaks per minute), whereas a robust increase was observed in the case of $\beta 2$ KO mice (4.58 ± 0.62 peaks per minute, $P < 0.001$) (Fig. 5E). Finally, the percentage of coactive cells in the peaks of synchrony was significantly higher for the WT mice ($41.03 \pm 1.03\%$) and $\alpha 7$ KO mice ($46.30 \pm 1.25\%$) compared with $\beta 2$ KO mice ($21.74 \pm 0.19\%$, $P < 0.001$, ANOVA) (Fig. 5F).

To summarize these results, the deletion of nAChR $\alpha 7$ and $\beta 2$ subunits has differential effects in awake mice on USFs duration, whereas in the anesthetized state, $\beta 2$ deletion alone increases synchronicity and decreases the percentage of coactive cells in the peaks of synchrony, compared with WT and $\alpha 7$ KO mice.

Chronic Exposure to Mecamylamine Mimics $\beta 2$ KO Phenotype. Finally, we aimed to compare the neuronal activity patterns in the PFC of WT mice after chronic application of mecamylamine, a nonselective, noncompetitive antagonist of nAChRs. Osmotic minipumps for the infusion of either saline or mecamylamine (1 mg/kg per day for mecamylamine) were implanted subcutaneously at the nape of the neck of WT mice. We recorded the neuronal activity patterns 7 d after minipump implantation. Typical examples of neuronal population activities in awake mice under saline or mecamylamine are shown in Fig. S4. We found that $94.44 \pm 5.55\%$ of simultaneously recorded populations exhibited USFs/basal activity in WT under saline condition ($n = 743$ cells in 3 mice) and $69.44 \pm 2.77\%$ in WT under mecamylamine ($n = 446$ cells in 3 mice) with a significant difference between the two groups ($P = 0.015$) (Fig. S5A). In awake WT animals under saline conditions, $98.21 \pm 2.4\%$ of cells fire in accordance with their population activity, whereas in WT under mecamylamine $90.32 \pm 2.4\%$ of cells were in accordance ($P = 0.041$) (Fig. S5B). In addition, we found that $88.91 \pm 3.67\%$ of simultaneously recorded cells exhibited USFs in WT under saline, whereas a significant reduction was observed for the WT mice under mecamylamine ($62.28 \pm 3.18\%$, $P = 0.0054$) (Fig. 6A1). The basal activity duration was significantly lower for the WT mice under mecamylamine (13.62 ± 3.97 s), compared with WT under saline (17.02 ± 4.18 s, $P = 0.018$) (Fig. S5C). Furthermore, USF duration was significantly higher for WT under saline (2.90 ± 0.28 s), compared with WT under mecamylamine (1.95 ± 0.45 s, $P = 0.011$) (Fig. S5D).

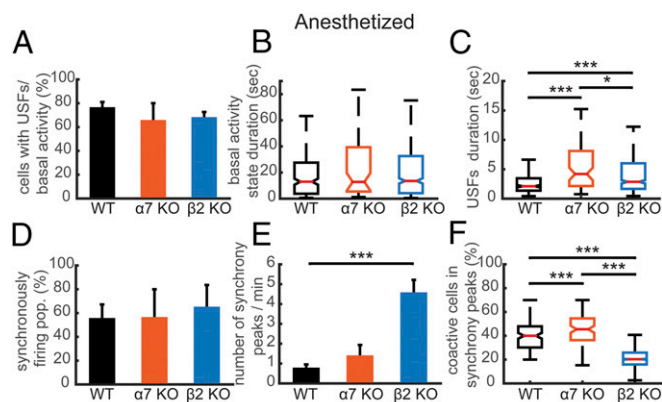


Fig. 5. Comparison of the properties of USFs and synchronicity in anesthetized mice. (A) Percentage of cells with USFs for each animal type in the anesthetized state. (B) Boxplots of basal activity durations for each animal type in the anesthetized state. (C) Boxplots of USF durations for each animal type in the anesthetized state. (D) Percentage of populations with synchronous activity, for each mouse type in the anesthetized state. (E) Mean number of synchrony peaks per minute for the different animal groups in the anesthetized state. (F) Percentage of coactive cells in the peaks of synchrony for each animal type in the anesthetized state. For all comparisons: $*P < 0.05$, $**P < 0.01$, and $***P < 0.001$; ANOVA in A, D, and E; Kruskal–Wallis in B and C.

We then analyzed and compared the synchronicity under saline and mecamlamine infusion. In the WT mice under saline, neurons displayed synchronous activity in $81.11 \pm 11.60\%$ of the recorded populations, whereas in WT mice under mecamlamine $88.89 \pm 11.11\%$ of the recorded populations had synchronous activity, with no significant difference between groups ($P = 0.65$) (Fig. S5E). However, the number of synchrony peaks detected was strongly decreased in WT mice under mecamlamine (3.27 ± 1.09 peaks per minute) in comparison with WT mice under saline (17 ± 4.94 peaks per minute, $P = 0.036$) (Fig. 6A2). In addition, the percentage of coactive cells in the peaks of synchrony was significantly lower for WT under mecamlamine ($21.86 \pm 0.80\%$) compared with the WT mice under saline ($28.64 \pm 0.8\%$, $P < 0.001$) (Fig. S5F). Thus, pharmacological exposure to the nicotinic antagonist mecamlamine gives a phenotype similar to that found in $\beta 2$ KO mice.

Discussion

In humans, two distinct modes of electrical activation of the cerebral cortex have been recorded: a rapid and sustained activation, termed “ignition,” which is viewed as a signature of conscious access; and synchronous USFs with low amplitude, which characterize the spontaneous activity mode, termed “resting state” (19). In the resting state, in the absence of explicit task performance or external stimulus perception, the cortex exhibits a highly informative mode of spontaneous activity. Studies in anesthetized animals (21) and in humans with blood-oxygen level-dependent fMRI data (27), together with animal recordings of firing-rate modulations (23), and EEG (22) and ECoG in humans (23, 36), reveal ultraslow (<0.1 Hz) dynamic activations with low amplitude, that we termed USFs, which may be viewed as characterizing the resting state. Here, we aimed to elucidate the mechanisms engaged in these cortical activity dynamics at a single-cell resolution using in vivo two-photon imaging in the mouse. We recorded ongoing spontaneous activity in the PFC of WT and mice deleted for specific nAChR subunits, both in awake and anesthetized states. Interestingly, we recorded USFs in the mouse cortex and found that anesthesia shows inhibitory effects by disrupting the synchronous USFs’ firing of neurons. We further showed that, first, nAChRs have an important role in the generation of USFs, yet to a different extent during quiet wakefulness and anesthesia, and that the $\beta 2$ subunit is specifically required for synchronized activity patterns. Our recordings indicate that, similar to humans, the ongoing activity in mouse brain constantly fluctuates and exhibits synchronously firing neuronal activity, making the mouse a reliable, although simplified model, for studying mechanisms of conscious processing in humans.

Current theories consider the PFC as a key player in conscious processing (6). According to the Global Neuronal Workspace

(GNW) theory, a subset of cortical pyramidal cells with long-range excitatory axons that are particularly dense in prefrontal, cingulate, and parietal regions, together with the relevant thalamo-cortical loops, form a horizontal “neuronal workspace” interconnecting the multiple specialized, automatic, and nonconscious processors. A conscious content is assumed to be encoded by the “all or none” sustained and synchronous ignition of a fraction of GNW neurons (7, 8). In these circumstances, the nonamplified neurons would be inhibited and the GNW sustained ignition would represent the conscious content. In the PFC, pyramidal neurons in layer II/III do not express nAChRs, only interneurons do, in contrast to layers V and VI, where nAChRs are also expressed by pyramidal neurons (37). In addition, pyramidal neurons with long axons, which have been postulated to play a critical role in the GNW theory (38), are more abundant in these particular layers of the cerebral cortex and especially in the PFC (39). By recording neuronal activity patterns in layer II/III of PFC, we found that $\alpha 7$ and $\beta 2$ nAChRs play an important role in the generation of USFs that occur, yet to a different extent during quiet wakefulness and anesthesia. We showed that the balance of recurrent excitation and inhibition is disrupted in the case of awake $\beta 2$ KO mice, and more specifically, the neuronal synchronicity is disrupted. It has been reported that the $\beta 2$ subunit is involved in the dendritic morphogenesis of pyramidal neurons, and in particular, in the circuits that contribute to the high-order functional connectivity of the cerebral cortex (40). These defects in the maturation of the cerebral cortex that have been reported in the $\beta 2$ KO mice could contribute to the observed behavioral deficits (4, 13, 16). However, our data reveal that pharmacological intervention with nicotinic antagonists is enough for the disruption of USF mechanisms. Our findings suggest an important contribution of nAChRs in the processing of neural information during quiet wakefulness.

Moreover, an important role of the cholinergic innervation of the cerebral cortex was postulated (41) to be the mediation of arousal state. This hypothesis was based on the initial observation that the cholinergic inputs are diffusely distributed in the cerebral cortex and, most interestingly for us, exhibits a slow release of ACh on the same time scale as the USFs. Several studies have further shown that cholinergic projections to the cortex are involved in sustained attention and cue detection, with the level of ACh efflux in the PFC correlating with the demand upon attention during attentional tasks (42). The slow fluctuations in ACh levels correlate with a shift in behavior, indicating that these fluctuations could correlate with decision-making (42, 43). Indeed, experimental evidence from both rodents and humans revealed that ACh release contributes to mechanisms that mediate the integration of external cues with internal representations to initiate and guide behavior (44). At the scale of seconds, ACh undergoes phasic release, which may exert a top-down control over defined cognitive operations; muscarinic ACh receptors also play an important role in attentional behavior and cue detection (45). Recently, it has been shown in vitro that endogenously released ACh can modulate up and down states through the activation of nAChRs (46). The alterations of neuronal activity patterns that our results revealed in the nAChR KO mice could further our understanding of the cholinergic system in higher brain functions and, when disrupted, the contribution of nAChRs to cognitive disorders.

The focus of the present study was to elucidate the role of nAChRs in PFC activity patterns when comparing resting-state dynamics in awake and anesthetized animals. Because ongoing spontaneous activity has been postulated to have a significant functional role in cortical functions (47), we focused on how it differs between awake and anesthetized states. The production of USFs was identified and compared among the different conditions investigated. Our work demonstrates, in vivo, that nAChRs play a crucial role in the modulation of PFC synchronous spontaneous neuronal activity. Furthermore, with recent studies probing the mechanisms of general anesthesia as causing loss of consciousness, our work exploring the effects of anesthesia on nAChRs in the PFC network in vivo, at a cellular resolution, reveals an elaborate mechanism for modulating cortical activity. Our results provide a starting point for understanding the relationship between nAChRs and loss of consciousness under

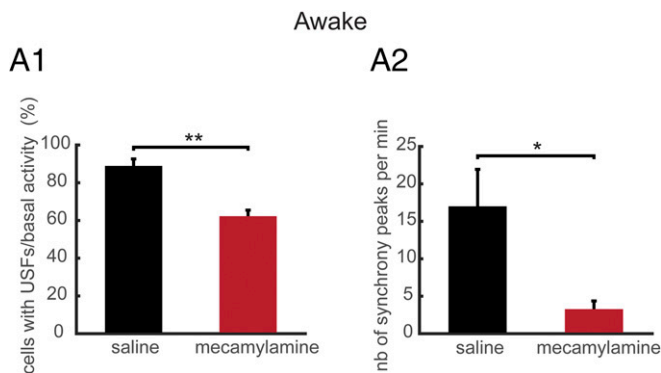


Fig. 6. Chronic exposure to mecamlamine mimics $\beta 2$ KO phenotype. (A1) Percentage of populations that exhibit USFs for awake WT mice under saline or mecamlamine conditions at 7 d after implantation of minipumps. (A2) Mean number of synchrony peaks per minute for awake WT mice under saline or mecamlamine infusion 7 d after implantation of minipumps. * $P < 0.05$, ** $P < 0.01$, and *** $P < 0.001$; ANOVA.

light anesthesia in mice that might potentially be a reliable—although highly simplified—model for studying mechanisms of conscious processing in humans. As a future goal, it is important to consider additional studies to evaluate the presence of USFs during behavioral assays. Because several studies have shown that deletion in mice of the $\alpha 7$ and $\beta 2$ subunits impairs behaviors, such as exploratory behavior and attention, it will be important to investigate the behavioral deficits in parallel with neuronal recordings to establish a causal relationship.

Materials and Methods

Male $\alpha 7$ KO, $\beta 2$ KO, and WT (C57BL/6J) mice used in the present study were maintained at Charles River Laboratories (L'Arbresle, France). The experiments described in this study were conducted in accordance with the guidelines on the ethical use of animals from the European Community Council Directive of November 24, 1986 (86/609/EEC) and in accordance with institutional animal welfare guidelines, and were approved by Animalerie Centrale and Médecine du Travail, Institut Pasteur and the Institut Pasteur Ethics Committee, CETEA, protocol numbers 2013-0056, 2013-0104, and 2015-0007.

Chronic cranial windows were performed as previously described (48) and 200 nL of AAV.syn.GCaMP6f was injected bilaterally in the PFC. Two-photon experiments were performed with an Ultima IV two-photon laser-scanning microscope system (Bruker), at a frame rate of 7 Hz. For data analysis we used ImageJ software and

we developed a custom-written toolbox in MATLAB. Full methods are described in *SI Materials and Methods*.

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